

Application Form STP / SWP

BARODA PIONEER MUTUAL FUND



Please refer the STP / SWP : Terms & Conditions while filling up the Form. Tick (✓) whichever is applicable, strike out whichever is not required.

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of the schemes of Baroda Pioneer Mutual Fund)

Distributor / Broker ARN	ARN 6574	Sub-Broker Code		LG Code		EJIN	EJIN E019976
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Upfront commission shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

I/We hereby confirm that the EJIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Please refer Instructions for filing up the Application Form - VIII)

<input type="checkbox"/> I confirm that I am a First time investor across Mutual Funds. (₹ 150 deductible as Transaction Charge and payable to the Distributor)	<input type="checkbox"/> I confirm that I am an existing investor across Mutual Funds. (₹ 100 deductible as Transaction Charge and payable to the Distributor)
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In case the subscription amount is ₹10,000/- or more and your distributor has opted to receive Transaction Charges, they are deductible, as applicable, from the purchase / subscription amount and payable to the distributor. Units will be issued against the balance amount.

Please note that the applicant details and mode of holding are as per the existing Folio Number

Folio No.	
Name of Sole / First Unit Holder	

SYSTEMATIC TRANSFER PLAN (STP)

<input type="checkbox"/> No. of units	<input type="checkbox"/> Dividend	<input type="checkbox"/> Capital Appreciation	<input type="checkbox"/> Fixed Amount (Please tick one option only).
Folio No.		PAN	Enclosed (please ✓) <input type="checkbox"/> PAN copy <input type="checkbox"/> KYC
Mobile No.		Email ID	
Amount ₹ (in figures)		₹ (in words)	OR
Units			
STP Frequency	<input type="checkbox"/> Monthly <input type="checkbox"/> Calendar Quarter	STP Period	Start From D D M M Y Y Y Y End On D D M M Y Y Y Y
STP Date	<input type="checkbox"/> 1st <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 25th		
FROM Scheme		Option	Sub-Option
TO Scheme		Option	Sub-Option

SYSTEMATIC WITHDRAWAL PLAN (SWP)

<input type="checkbox"/> Fixed Amount	<input type="checkbox"/> Capital Appreciation	(Please tick one option only)	
Folio No.		PAN	Enclosed (please ✓) <input type="checkbox"/> PAN copy <input type="checkbox"/> KYC
Mobile No.		Email ID	
Amount ₹ (in figures)		₹ (in words)	OR
Units			
SWP Frequency	<input type="checkbox"/> Monthly <input type="checkbox"/> Calendar Quarter	SWP Period	Start From D D M M Y Y Y Y End On D D M M Y Y Y Y
SWP Date	<input type="checkbox"/> 1st <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 25th		
FROM Scheme		Option	Sub-Option

DECLARATION AND SIGNATURES

I/We have read and understood the contents of the SAI, Combined SID-Equity and KIM. I/We hereby apply to the Trustee of Baroda Pioneer Mutual Fund for units of the Scheme as indicated above ("the Scheme") and agree to abide by the terms and conditions of the Scheme/s and such other schemes into which my/our investment may be moved pursuant to any instruction received from me/us to sweep/switch the units as applicable to my/our investment including any further transaction under the Scheme. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We further declare that the amount invested by me/us in the Scheme/s is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules and regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time.

I/We further confirm that I/We have express authority from the relevant constitution to invest in the units of the Scheme, and Baroda Pioneer Mutual Fund, its Trustee and the AMC will not be responsible if the investment is ultra vires the relevant constitution.

I/We authorize the AMC to reject the application, reverse the units, credited, restrain me/us from making any further investment in any of the Scheme/s of Baroda Pioneer Mutual Fund, recover / debit my / our folio(s) with the penal interest and take any appropriate action against me / us in case the cheque(s) / payment instrument is / are returned unpaid by my / our bank for any reason whatsoever.

I/We hereby further agree that the AMC can directly credit all the dividend pay outs and redemption amount to my / our bank details given herein, where the AMC has such arrangement with my / our Bank.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only :

I/We confirm that I am / we are Non - Residents of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my / our Non-Residents External / Ordinary Account / FCNR Account.

Signature / Thumb Impression of Sole / 1st Applicant / POA Holder / Guardian

Signature / Thumb Impression of 2nd Applicant / POA Holder / Guardian

Signature / Thumb Impression of 3rd Applicant / POA Holder / Guardian

ACKNOWLEDGMENT SLIP (To be filled in by the Applicant)

Investor Name	
Folio No.	Dated D D M M Y Y Y Y
<input type="checkbox"/> STP / <input type="checkbox"/> SWP	
Scheme / Plan / Option / Sub-Option	To (for STP Only)
Amount ₹	Signature, Stamp & Date